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Docket No. 215246US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ryuji UENO

SERIAL NO: 09/926,411

GAU: 1615

FILED: October 29, 2001

EXAMINER: AZPURU

FOR: USE OF MACROLIDE COMPOUNDS FOR THE TREATMENT OF DRY EYE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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Respectfully submitted,

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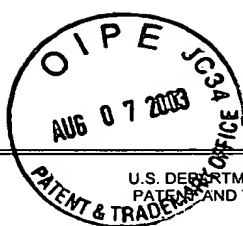
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215246US0PCT		SERIAL NO. 09/926,411	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Ryuji UENO			
				FILING DATE October 29, 2001		GROUP 1615	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,457,182	10/10/95	WIEDERRECHT, et al.			
	AB	5,770,607	6/23/98	HONBO, et al.			
	AC	5,952,371	9/14/99	BAKER, et al.			
	AD	6,004,565	12/21/99	CHIBA, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AE	EP 0 184 162 A2	6/11/86	European			
	AF	EP 0 240 773 A1	10/14/87	European			
	AG	WO 89/05303	6/15/89	WIPO			
	AH	EP 0 323 042 A1	7/5/89	European			
	AI	EP 0 406 791 A2	1/9/91	European			
	AJ	EP 0 423 714 A2	4/24/91	European			
	AK	EP 0 427 680 A1	5/15/91	European			
	AL	WO 91/13889	9/19/91	WIPO			
	AM	WO 91/19495	12/26/91	WIPO			
	AN	EP 0 465 426 A1	1/8/92	European			
	AO	EP 0 480 623 A1	4/15/92	European			
	AP	EP 0 532 088 A1	3/17/93	European			
	AQ	EP 0 532 089 A1	3/17/93	European			
	AR	WO 93/05058	3/18/93	WIPO			
	AS	WO 93/05059	3/18/93	WIPO			
	AT	EP 0 569 337 A1	11/10/93	European			
	AU	WO 94/09010	4/28/94	WIPO			
	AV	EP 0 626 385 A1	11/30/94	European			
	AW	WO 95/16691	6/22/95	WIPO			
	AX	WO 96/31514	10/10/96	WIPO			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AY	YAMADA, M., et al., <u>Folia Ophthalmol. Jpn.</u> , vol. 43, pp. 1289-1293 (1992).					
	AZ						
						<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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